

Multiplication and Integral Operators on Banach  
Spaces of Analytic Functions

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# Chapter 1

## Introduction

We investigate operators on Banach spaces of analytic functions on the unit disk  $D$  in the complex plane. The operator  $T_g$ , with symbol  $g(z)$  an analytic function on the disk, is defined by

$$T_g f(z) = \int_0^z f(w)g'(w) dw \quad (z \in D).$$

$T_g$  is a generalization of the standard integral operator, which is  $T_g$  when  $g(z) = z$ . Letting  $g(z) = \log(1/(1-z))$  gives the Cesàro operator [1]. Discussion of the operator  $T_g$  first arose in connection with semigroups of composition operators (see [16] for background). Characterizing the boundedness and compactness of  $T_g$  on certain spaces of analytic functions is of recent interest, as seen in [2], [3], [7] and [16], and open problems remain.  $T_g$  and its companion operator  $S_g f(z) = \int_0^z f'(w)g(w) dw$  are related to the multiplication operator  $M_g f(z) = g(z)f(z)$ , since integration by parts gives

$$M_g f = f(0)g(0) + T_g f + S_g f. \tag{1.1}$$

If any two of  $M_g$ ,  $S_g$ , and  $T_g$  are bounded, then so is the third. But on many spaces, there exist functions  $g$  for which one operator is bounded and two are unbounded. The pointwise multipliers of the Hardy, Bergman and Bloch spaces are well known, as well as David Stegenga's results on multipliers of the Dirichlet space and  $BMOA$ . Theorem 2.7 below states these results. We examine boundedness and compactness of  $T_g$  and  $S_g$  on all these spaces. According to [2], boundedness of the operator  $T_g$  on  $H^2$  was first characterized by Christian Pommerenke. Boundedness and compactness of  $T_g$  was characterized on the Hardy spaces  $H^p$  for  $p < \infty$  by Alexandru Aleman and Joseph Cima in [2], and on the Bergman spaces by Aleman and Aristomenis Siskakis in [3]. In [16], Siskakis and Ruhan Zhao proved  $T_g$  is bounded (and compact) on  $BMOA$  if and only if  $g \in LMOA$ . As seen in sections 3.1, 3.2, and 3.3, boundedness of  $S_g$  is equivalent to  $g$  being bounded, while the conditions for  $T_g$  are more complicated.

An interesting interplay of the three operators  $M_g$ ,  $T_g$ , and  $S_g$  occurs. In characterizing the multipliers of the Dirichlet and Bloch spaces and  $BMOA$ , two conditions on  $g$  are required. It turns out that the operators  $T_g$  and  $S_g$  split the conditions on the multipliers. One condition characterizes boundedness of  $T_g$ , and the other condition characterizes when  $S_g$  is bounded. In the case of the Hardy and Bergman spaces, the condition for  $T_g$  to be bounded subsumes that for  $S_g$  and  $M_g$ . Action on the space  $H^\infty$  provides an example in which  $M_g$  is bounded while  $T_g$  and  $S_g$  are not. This phenomenon is unique among the other spaces studied here, and a complete characterization of the symbols that make  $T_g$  and  $S_g$  bounded on  $H^\infty$  is unknown.

We also examine conditions on the symbol  $g$  that cause  $T_g$  and  $S_g$  to have closed range on certain spaces. We examine aspects of the problems on Hardy, weighted Bergman, and Bloch spaces, and  $BMOA$ . On the spaces studied,  $T_g$  and  $S_g$  have closed range if and only if they are bounded below (Theorem 2.9). In Theorem 4.7,

we characterize the symbols  $g$  for which  $S_g$  is bounded below on the Bloch space. We also point out analogous results for the Hardy space  $H^2$  and the weighted Bergman spaces  $A_\alpha^p$  for  $1 \leq p < \infty, \alpha > -1$ . In Theorem 4.1 we show the companion operator  $T_g$  is never bounded below on  $H^2$ , Bloch, nor  $BMOA$ . We subsequently mention an example from [15] demonstrating  $T_g$  may be bounded below on  $A^p$ .

# Chapter 2

## Background

### 2.1 General Preliminaries

For two nonnegative quantities  $f$  and  $g$ , the notation  $f \lesssim g$  will mean there exists a universal constant  $C$  such that  $f \leq Cg$ .  $f \sim g$  will mean  $f \lesssim g \lesssim f$ .

Let  $D = \{z \in \mathbb{C} : |z| < 1\}$  be the unit disk in the complex plane and  $H(D)$  the set of analytic functions on  $D$ .

Theorem 2.2 is a generalization of a result on multipliers of Banach spaces in which point evaluation is a bounded linear functional. We state the result for multipliers first.

**Theorem 2.1.** *Let  $X$  be a Banach space of analytic functions on which point evaluation is bounded for each point  $z \in D$ . Suppose  $M_g$  is bounded on  $X$  for some  $g \in X$ . Then*

$$|g(z)| \leq \|M_g\|.$$

The proof is similar to Theorem 2.2, so we omit it here. (See, e.g., [5, Lemma

11].)

**Theorem 2.2.** *Let  $X$  and  $Y$  be Banach spaces of analytic functions,  $z \in D$ , and let  $\lambda_z^0$  and  $\lambda_z^1$  be linear functionals defined by  $\lambda_z^0 f = f(z)$  and  $\lambda_z^1 f = f'(z)$  for  $f \in X \cup Y$ . Suppose  $\lambda_z^0$  and  $\lambda_z^1$  are bounded.*

(i) *If  $S_g$  maps  $X$  boundedly into  $Y$ , then*

$$|g(z)| \leq \|S_g\| \frac{\|\lambda_z^1\|_Y}{\|\lambda_z^1\|_X}.$$

(ii) *If  $T_g$  maps  $X$  boundedly into  $Y$ , then*

$$|g'(z)| \leq \|T_g\| \frac{\|\lambda_z^1\|_Y}{\|\lambda_z^0\|_X}.$$

*Proof.* Note that, for  $f \in X$ ,

$$|f'(z)||g(z)| = |\lambda_z^1 S_g(f)| \leq \|\lambda_z^1\|_Y \|S_g\| \|f\|_X.$$

Since

$$\sup_{\|f\|_X=1} |f'(z)| = \|\lambda_z^1\|_X,$$

taking the supremum of both sides over all  $f$  in  $X$  with norm 1 gives us

$$\|\lambda_z^1\|_X |g(z)| \leq \|S_g\| \|\lambda_z^1\|_Y.$$

Hence (i) holds. Similarly,

$$|f(z)||g'(z)| = |\lambda_z^1 T_g(f)| \leq \|\lambda_z^1\|_Y \|T_g\| \|f\|_X.$$



Taking the supremum over  $\{f \in X : \|f\|_X = 1\}$ , we get

$$\|\lambda_z^0\|_X |g'(z)| \leq \|T_g\| \|\lambda_z^1\|_Y.$$

This completes the proof.  $\square$

When  $Y = X$ , we obtain the following corollary.

**Corollary 2.3.** *If  $X$  is a Banach space of analytic functions on which point evaluation of the derivative is a bounded linear functional, and  $S_g$  is bounded on  $X$ , then  $g$  is bounded.*

Corollary 2.3 will be used frequently below, because  $\lambda_z^1$  is bounded for each  $z \in D$  on the spaces in which we are interested.

## 2.2 Spaces of Analytic Functions

For  $1 \leq p < \infty$ , the Hardy space  $H^p$  on  $D$  is

$$\{f \in H(D) : \|f\|_p = \sup_{0 < r < 1} \int_0^{2\pi} |f(re^{it})|^p dt < \infty\}.$$

The space of bounded analytic functions on  $D$  is

$$H^\infty = \{f \in H(D) : \|f\|_\infty = \sup_{z \in D} |f(z)| < \infty\}.$$

We define weighted Bergman spaces, for  $\alpha > -1$ ,  $1 \leq p < \infty$ ,

$$A_\alpha^p = \{f \in H(D) : \|f\|_{A_\alpha^p} = \int_D |f(z)|^p (1 - |z|^2)^\alpha dA(z) < \infty\},$$

where  $dA(z)$  refers to Lebesgue area measure on  $D$ . We denote the unweighted Bergman space  $A^p = A_0^p$ .

The Bloch space is

$$\mathcal{B} = \{f \in H(D) : \|f\|_{\mathcal{B}} = \sup_{z \in D} |f'(z)|(1 - |z|^2) < \infty\}.$$

Note that  $\|\cdot\|_{\mathcal{B}}$  is a semi-norm. The true norm accounts for functions differing by an additive constant. It is well known that  $H^\infty$  a subspace of  $\mathcal{B}$ , and  $\|f\|_{\mathcal{B}} \leq \|f\|_\infty$  for all  $f \in H^\infty$  [20, Proposition 5.1]. For  $\alpha > 0$ , the  $\alpha$ -Bloch space  $\mathcal{B}_\alpha$  and logarithmic Bloch space  $\mathcal{B}_{\alpha,\ell}$  are the following sets of analytic functions defined on the disk.

$$\mathcal{B}_\alpha = \{f \in H(D) : \|f\|_{\mathcal{B}_\alpha} = \sup_{z \in D} |f'(z)|(1 - |z|^2)^\alpha < \infty\}, \text{ and}$$

$$\mathcal{B}_{\alpha,\ell} = \{f \in H(D) : \|f\|_{\mathcal{B}_{\alpha,\ell}} = \sup_{z \in D} |f'(z)|(1 - |z|^2)^\alpha \log \frac{1}{1 - |z|} < \infty\}.$$

Since  $\mathcal{B} = \mathcal{B}_1$ , we define  $\mathcal{B}_\ell := \mathcal{B}_{1,\ell}$ . For  $0 < \alpha < 1$ ,  $\mathcal{B}_\alpha$  are (analytic) Lipschitz class spaces (see [8, Theorem 5.1]).

Define the conelike region with aperture  $\alpha \in (0, 1)$  at  $e^{i\theta}$  to be

$$\Gamma_\alpha(e^{i\theta}) = \left\{ z \in D : \frac{|e^{i\theta} - z|}{1 - |z|} < \alpha \right\}.$$

For a function  $f$  on  $D$ , define the *nontangential limit* of  $f$  at  $e^{i\theta}$  to be

$$f^*(e^{i\theta}) = \lim_{z \rightarrow e^{i\theta}} f(z) \quad (z \in \Gamma_\alpha(e^{i\theta})),$$

provided the limit exists. If  $f \in H^1$ , then the nontangential limit of  $f$  exists for almost all  $e^{i\theta} \in \partial D$  (see [10, Ch. I, Theorem 5.2]). In this case we associate  $f$  with

$f^*$ , so that we have a function  $f$  defined on  $\overline{D}$  except for a set of measure 0 in  $\partial D$ .

For a measurable complex-valued function  $\varphi$  defined on  $\partial D$ , and an arc  $I \subseteq \partial D$ , define

$$\varphi_I = \frac{1}{|I|} \int_I \varphi(e^{it}) dt,$$

where  $|I|$  is the length of  $I$ , normalized so that  $|I| \leq 1$ . The function  $\varphi$  has *bounded mean oscillation* if

$$\sup_I \frac{1}{|I|} \int_I |\varphi(e^{it}) - \varphi_I| dt < \infty,$$

as  $I$  ranges over all arcs in  $\partial D$ .

The space  $BMOA$  is the set analytic functions  $f$  on  $D$  such that  $f^*$  has bounded mean oscillation. Associating  $f$  with  $f^*$ , we define the semi-norm

$$\|f\|_* = \sup_I \frac{1}{|I|} \int_I |f(e^{it}) - f_I| dt.$$

Of course,  $f^*$  must be defined almost everywhere, so assume  $f \in H^1$ , although in fact,  $BMOA \subset H^2$ . One way to see this is via the duality relations  $(H^1)^* \cong BMOA$  (see [10, Exercise 5, p. 261]) and  $(H^p)^* \cong H^q$ , where  $1/p + 1/q = 1$  (see [20, 8.1.8]). Since  $H^2 \subset H^1$ , we have  $BMOA \cong (H^1)^* \subset (H^2)^* \cong H^2$ . In fact, for all  $f \in BMOA$ ,

$$\|f\|_2 \lesssim \|f\|_*. \tag{2.1}$$

Note that  $H^\infty$  is a subspace of  $BMOA$ , since the following calculation shows

$$\|f\|_* \leq \|f\|_\infty. \tag{2.2}$$

$$\begin{aligned}
\|f\|_* &= \sup_I \frac{1}{|I|} \int_I |f - f_I| \\
&\leq \sup_I \left( \frac{1}{|I|} \int_I |f - f_I|^2 \right)^{1/2} \\
&= \sup_I \left( \frac{1}{|I|} \int_I (f - f_I)(\bar{f} - \bar{f}_I) \right)^{1/2} \\
&= \sup_I \left( \frac{1}{|I|} \left( \int_I |f|^2 - \int_I f \bar{f}_I - \int_I \bar{f} f_I \right) + |f_I|^2 \right) \\
&= \sup_I (1/|I|) ((|f|^2)_I - |f_I|^2)^{1/2} \\
&\leq \sup_I (1/|I|) |f|_I \leq \|f\|_\infty.
\end{aligned}$$

The function  $f \in BMOA$  has *vanishing mean oscillation* if, for all  $\varepsilon > 0$ , there exists  $\delta > 0$  such that  $|I| < \delta$  implies

$$\frac{1}{|I|} \int_I |f - f_I| < \varepsilon.$$

The subspace of  $BMOA$  consisting of the functions with vanishing mean oscillation is denoted  $VMOA$ . Another way we write the condition defining  $VMOA$  is

$$VMOA = \{f \in BMOA : \lim_{|I| \rightarrow 0} \frac{1}{|I|} \int_I |f - f_I| = 0\}.$$

A noteworthy subspace of  $VMOA$  is those functions whose mean oscillation vanishes more quickly than  $1/\log(1/|I|)$ . We define

$$LMOA = \{f \in VMOA : \lim_{|I| \rightarrow 0} \frac{\log(1/|I|)}{|I|} \int_I |f - f_I| = 0\}.$$

A useful characterization of  $BMOA$  for our purposes involves Carleson measures.

For  $1 \leq p < \infty$ , a complex measure  $\mu$  on  $D$  is a *Carleson measure* for  $H^p$  if

$$\int_D |f|^p d\mu \lesssim \|f\|_p^p.$$

For an arc  $I \subseteq \partial D$ , define the *Carleson rectangle* associated with  $I$  to be

$$S(I) = \{re^{i\theta} : 1 - |I| < r < 1, e^{i\theta} \in I\}.$$

The measure  $\mu$  is Carleson for  $H^p$  if and only if there exists  $C > 0$  such that  $\mu(S(I)) \leq C|I|$  for all arcs  $I \subseteq \partial D$  (a well known result of Lennart Carleson, see [10, Theorem II.3.9]). The smallest such  $C$  is called the Carleson constant for the measure  $\mu$ . Define, for  $f \in H(D)$ ,  $d\mu_f(z) = |f'(z)|^2(1 - |z|^2) dA(z)$ . *BMOA* is the set of  $f$  for which  $\mu_f$  is Carleson for  $H^p$ , and the *BMOA* semi-norm  $\|f\|_*$  is comparable to the square root of the Carleson constant for  $\mu_f$  (see [10, Ch. VI, Sec. 3]). The space *VMOA* is the set of  $f$  for which

$$\lim_{|I| \rightarrow 0} \frac{\mu_f(S(I))}{|I|} = 0.$$

Also,

$$LMOA = \{f \in VMOA : \lim_{|I| \rightarrow 0} \frac{\mu_f(S(I))}{|I|} \log(1/|I|) = 0\}.$$

Zhu [20] is a good reference for background on the spaces defined in this section.

The next lemma will be useful in Chapter 4 when showing  $T_g$  is not bounded below on  $H^2$ , *BMOA*, and the Bloch space.

**Lemma 2.4.** *If  $n$  is a positive integer, then  $1 = \|z^n\|_2 \sim \|z^n\|_* \sim \|z^n\|_{\mathcal{B}}$ , and the constants of comparison are independent of  $n$ .*

*Proof.* A straightforward calculation shows  $\|z^n\|_2 = 1$  for all  $n$ . Checking the Bloch norm, we get  $\|z^n\|_{\mathcal{B}} \sim \sup_{0 < r < 1} nr^{n-1}(1-r) = (1 - \frac{1}{n})^{n-1} \rightarrow 1/e$  as  $n \rightarrow \infty$ . Finally,  $1 = \|z^n\|_2 \lesssim \|z^n\|_* \lesssim \|z^n\|_{\infty} = 1$ , by (2.1) and (2.2).  $\square$

On all the spaces mentioned, point evaluation is a bounded linear functional. For  $f \in H^p$  ( $1 \leq p < \infty$ ),  $|f(z)| \leq 2^{1/p} \|f\|_p (1 - |z|)^{-1/p}$  (see [8, p. 36]). The norm of point evaluation at  $z$  in  $A_{\alpha}^p$  is comparable to  $(1 - |z|)^{-(2+\alpha)/p}$  [20, Theorem 4.14]. In  $\mathcal{B}$ , the norm of point evaluation at  $z$  is comparable to  $\log(2/(1 - |z|))$ , which we demonstrate in the next Proposition.

**Proposition 2.5.** *For  $f \in \mathcal{B}$ , let  $\lambda_z^0 f = f(z)$  denote point evaluation at  $z$ . Then*

$$\|\lambda_z^0\| \sim \log(2/(1 - |z|)).$$

*Proof.* If  $f \in \mathcal{B}$ , then  $|f'(z)| \leq \|f\|_{\mathcal{B}}/(1 - |z|)$  by the definition of  $\mathcal{B}$  and the Maximum Modulus Principle. Integrating along a ray from the origin to  $z = re^{i\theta} \in D$ , we have

$$\begin{aligned} |f(z) - f(0)| &= \left| \int_0^z f'(w) dw \right| \\ &\leq \int_0^r |f'(te^{i\theta})| dt \\ &\leq \|f\|_{\mathcal{B}} \int_0^r 1/(1-t) dt \\ &= \|f\|_{\mathcal{B}} \log(1/(1-r)). \end{aligned}$$

Thus,  $|f(z)| \leq |f(0)| + \|f\|_{\mathcal{B}} \log(1/(1 - |z|))$ , and  $\|\lambda_z^0\| \lesssim \log(2/(1 - |z|))$ . Recall that  $\|\cdot\|_{\mathcal{B}}$  was defined as a semi-norm, so to account for  $|z|$  near 0 we use  $\log(2/(1 - |z|))$ .

For  $a \in D$ , define the test function

$$f_a(z) = \log(1/(1 - \bar{a}z)).$$

Then  $|f'_a(z)| = |a|/|1 - \bar{a}z|$ , and  $f_a \in \mathcal{B}$  with  $\|f_a\|_{\mathcal{B}} \leq 1$  for all  $a$ . Also,

$$\|\lambda_a^0\| \geq |f_a(a)| = \log(1/(1 - |a|^2)).$$

This shows  $\log(2/(1 - |z|)) \lesssim \|\lambda_z^0\|$ , hence the proposition is true.  $\square$

**Remark.** This result generalizes to the  $\alpha$ -Bloch spaces, with  $\|\lambda_z^0\|_{\mathcal{B}_\alpha} \sim (1 - |z|^2)^{\alpha-1}$  for  $\alpha > 1$ . The proof is similar but the test functions must be adjusted.

An application of bounded point evaluation is the following well known result.

**Proposition 2.6.** *The following are equivalent:*

- (i)  $M_g$  is bounded on  $H^p$  for  $1 \leq p \leq \infty$ .
- (ii)  $M_g$  is bounded on  $A_\alpha^p$  for  $1 \leq p < \infty, \alpha > -1$ .
- (iii)  $g \in H^\infty$ .

*Proof.* If  $g \in H^\infty, 1 \leq p < \infty$ , then

$$\begin{aligned} \|M_g f\|_p &= \|fg\|_p = \sup_{0 < r < 1} \int_0^{2\pi} |f(re^{it})g(re^{it})|^p dt \\ &\leq \|g\|_\infty \sup_{0 < r < 1} \int_0^{2\pi} |f(re^{it})|^p dt \\ &= \|g\|_\infty \|f\|_p. \end{aligned}$$

If  $p = \infty$ , then  $\|M_g f\|_\infty = \sup_{z \in D} |f(z)g(z)| \leq \|f\|_\infty \|g\|_\infty$ . Conversely, if  $M_g$  is bounded on  $H^p$  ( $1 \leq p \leq \infty$ ), then  $g \in H^\infty$  by Theorem 2.1. We have proved (i) and (iii) are equivalent. The proof that (ii) and (iii) are equivalent is similar.  $\square$

## 2.3 Differentiation Isomorphisms

When studying  $T_g$  and  $S_g$ , it is useful to be able to compare the norm of a function to the norm of its derivative. For  $p \geq 1$ ,  $\alpha > -1$ , the differentiation operator and its inverse, the indefinite integral, are isomorphisms between  $A_\alpha^p/\mathbb{C}$  and  $A_{\alpha+p}^p$ , i.e.,

$$\|f\|_{A_\alpha^p} \sim |f(0)| + \|f'\|_{A_{\alpha+p}^p} \quad (2.3)$$

(see [20, Proposition 4.28]). Making the natural definition  $A_{-1}^2 = H^2$ , (2.3) holds for  $p = 2$ ,  $\alpha = -1$  as well. For  $f \in H^2$  with  $f(0) = 0$ , this is the well-known Littlewood-Paley identity,

$$\frac{1}{2\pi} \|f\|_2^2 = \frac{1}{\pi} \int_D 2|f'(z)|^2 \log \frac{1}{|z|} dA(z)$$

(see [10, Ch. IV, Sec. 3]). The relation (2.3) demonstrates a key connection between  $S_g$  and  $M_g$  via the differentiation operator, since  $(S_g f)' = M_g f'$ , and thus the following diagram is commutative.

$$\begin{array}{ccc} A_\alpha^p/\mathbb{C} & \xrightarrow{S_g} & A_\alpha^p/\mathbb{C} \\ f \mapsto f' \downarrow & & \downarrow f \mapsto f' \\ A_{\alpha+p}^p & \xrightarrow{M_g} & A_{\alpha+p}^p \end{array}$$

## 2.4 Boundedness of $M_g$

The multiplication operator  $M_g$  has been thoroughly studied, and conditions characterizing boundedness of  $M_g$  on the spaces mentioned are well known. The next theorem lists these results.



For a set  $X \subset H(D)$ , let

$$M[X] = \{g \in H(D) : M_g \text{ is bounded on } X\}.$$

Define  $T[X] = \{g \in H(D) : T_g X \subset X\}$ . Define  $S[X]$  similarly.

**Theorem 2.7.** (i)  $M[H^p] = H^\infty, 1 \leq p \leq \infty$ .

(ii)  $M[A_\alpha^p] = H^\infty, 1 \leq p < \infty, \alpha > 0$ .

(iii)  $M[\mathcal{B}] = H^\infty \cap \mathcal{B}_\ell$ .

(iv)  $M[BMOA] = H^\infty \cap LMOA$ .

(v)  $M_g$  is bounded on  $\mathcal{D}$  if and only if  $g \in H^\infty$  and the measure  $\mu_g$  given by  $d\mu_g(z) = |g'(z)|^2 dA(z)$  is a Carleson measure for  $\mathcal{D}$ .

For (i) and (ii) see Proposition 2.6. The result for the Bloch space, (iii), is due originally to Jonathan Arazy [4]. The results (iv) and (v) for  $BMOA$  and the Dirichlet space  $\mathcal{D}$  are due to David Stegenga (see [17] and [18]). The Carleson measures for  $\mathcal{D}$  were studied by Stegenga in [18], and characterized by a condition involving capacity.

## 2.5 Operators with Closed Range

A bounded operator  $T$  on a space  $X$  is said to be *bounded below* if there exists  $C > 0$  such that  $\|Tf\| \geq C\|f\|$  for all  $f \in X$ . It typically is the case for one-to-one operators on Banach spaces that boundedness below is equivalent to having closed range. The analogue of Theorem 2.9 for composition operators is found in Cowen and MacCluer [6]. We include the proof for  $T_g$  and  $S_g$ , essentially the same, for easy reference.

**Lemma 2.8.**  $T_g$  is one-to-one for nonconstant  $g$ .

*Proof.* Let  $f_1, f_2 \in H(D)$ . If  $T_g f_1 = T_g f_2$ , taking derivatives gives  $f_1(z)g'(z) = f_2(z)g'(z)$ . Thus  $f_1(z) = f_2(z)$  except possibly at the (isolated) points where  $g'$  vanishes. Since  $f_1$  and  $f_2$  are analytic,  $f_1 = f_2$ .  $\square$

When considering the property of being bounded below for  $S_g$ , we note that  $S_g$  maps any constant function to the 0 function. Thus, it is only useful to consider spaces of analytic functions modulo the constants.

**Theorem 2.9.** *Let  $Y$  be a Banach space of analytic functions on the disk, and let  $T_g$  and  $S_g$  be bounded on  $Y$ . For nonconstant  $g$ ,  $T_g$  is bounded below on  $Y$  if and only if it has closed range.  $S_g$  is bounded below on  $Y/\mathbb{C}$  if and only if it has closed range on  $Y/\mathbb{C}$ .*

*Proof.* Assume  $T_g$  is bounded below, i.e., there exists  $\varepsilon > 0$  such that  $\|T_g f\| \geq \varepsilon \|f\|$  for all  $f$ . Suppose  $\{T_g f_n\}$  is a Cauchy sequence in the range of  $T_g$ . Since  $\|f_n - f_m\| \lesssim \|T_g f_n - T_g f_m\|$ ,  $\{f_n\}$  is also a Cauchy sequence. Letting  $f = \lim f_n$ , we have  $T_g f_n \rightarrow T_g f$ , showing  $T_g f_n$  converges in the range of  $T_g$ . Hence the range is closed.

Conversely, assume  $T_g : Y \rightarrow Y$  is closed range. Let  $\{f_n\}$  be a sequence in  $Y$  such that  $\|T_g f_n\| \rightarrow 0$ .  $T_g$  is one-to-one by Lemma 2.8. Let the closed range of  $T_g$  be  $X$ . With the norm inherited from  $Y$ ,  $X$  is a Banach space, and we can define the inverse  $T_g^{-1} : X \rightarrow Y$ . Suppose  $\{x_n\}$  converges to  $x = T_g h$  in  $X$ , and  $T_g^{-1} x_n$  converges to  $y$  in  $Y$ . Applying  $T_g$  to  $\{T_g^{-1} x_n\}$ , this means  $x_n$  converges to  $T_g y$ . Hence  $T_g y = T_g h$ . Since  $T_g$  is one-to-one,  $y = h = T_g^{-1} x$ . By the Closed Graph Theorem,  $T_g^{-1}$  is continuous. Thus,  $\|f_n\| = \|T_g^{-1}(T_g f_n)\| \rightarrow 0$ , implying  $T_g$  is bounded below.

The same argument holds for  $S_g$  as well, but only on spaces modulo constants, since  $S_g$  is not one-to-one otherwise.  $\square$

**Theorem 2.10.** *Let  $X$  be an infinite dimensional Banach space and  $T : X \rightarrow X$  a bounded linear operator. If  $T$  is bounded below, then it is not compact.*

*Proof.* The special case when  $X$  is a Hilbert space is easy. Find an orthonormal sequence  $\{u_n\} \subset X$ . Assume  $T$  is bounded below, so there exists  $\delta > 0$  such that  $\|Tx\| \geq \delta\|x\|$  for all  $x \in X$ . Then, for  $m \neq n$ ,

$$\|Tu_n - Tu_m\| = \|T(u_n - u_m)\| \geq \delta\|u_n - u_m\| = \delta\sqrt{2}.$$

Thus we have a uniformly separated sequence of points in the image of the closed unit ball of  $X$  under  $T$ . The separated sequence can have no convergent subsequence, showing  $T$  is not compact.

For a general Banach space  $X$ , we construct an analogous sequence. We show there is an infinite, uniformly separated sequence in the closed unit ball  $B$  of  $X$ . If this fails to be true, then for any  $\varepsilon > 0$  there exists a finite set that is an  $\varepsilon$  cover of  $B$ . So suppose  $\{u_1, u_2, \dots, u_N\} \subset B$ , and for all  $u \in B$ , there exists  $j$ ,  $1 \leq j \leq N$ , such that  $\|u - u_j\| < 1/2$ . Let  $M$  be the span of  $\{u_1, u_2, \dots, u_N\}$ . We will show that  $M = X$ , contradicting the assumption that  $X$  has infinite dimension. Let  $y \in X$ . Since  $M$  is finite dimensional, it is closed. If  $y \notin M$ , then  $d = \inf_{m \in M} \|y - m\| > 0$ . Let  $m_0 \in M$  such that  $d \leq \|y - m_0\| \leq 3d/2$ . Let  $y_0 = (y - m_0)/\|y - m_0\|$ , so  $y_0 \in B$ . Then

$$\begin{aligned} \inf_{m \in M} \|y_0 - m\| &= \inf_{m \in M} \left\| \frac{y - m_0}{\|y - m_0\|} - m \right\| = \inf_{m \in M} \left\| \frac{y - m_0 - \|y - m_0\|m}{\|y - m_0\|} \right\| \\ &= \frac{1}{\|y - m_0\|} \inf_{m \in M} \|y - m\| \geq \frac{d}{3d/2} = 2/3. \end{aligned}$$

This violates the fact that the basis of  $M$  is a  $1/2$  cover of  $B$ . We conclude that there

exists an infinite sequence  $\{u_n\} \subset B$  such that  $m \neq n$  implies  $\|u_n - u_m\| \geq 1/2$ . As we saw in the case when  $X$  is a Hilbert space, if  $T$  is bounded below then it is not compact.  $\square$

# Chapter 3

## Boundedness of $T_g$ and $S_g$

### 3.1 Results of Aleman, Siskakis, Cima, and Zhao

Alexandru Aleman and Joseph Cima characterized boundedness and compactness of  $T_g$  on the Hardy spaces in [2] (Theorem 3.1 below). Recall from section 2.2 that the dual of  $H^1$  is  $BMOA$ . The dual of the Bergman space  $A^1$  is the Bloch space ([20, Theorem 5.1.4]). Hence, in light of duality, Theorem 3.2 is an analogue for the Bergman spaces of Theorem 3.1. Theorem 3.2 was proved by Aleman and Aristomenis Siskakis in [3]. Theorem 3.3 was established by Siskakis and Ruhan Zhao in [16].

**Theorem 3.1.** (Aleman and Cima [2]) *For  $1 \leq p < \infty$ ,  $T_g$  is bounded [compact] on  $H^p$  if and only if  $g \in BMOA$  [ $VMOA$ ].*

**Theorem 3.2.** (Aleman and Siskakis [3]) *For  $p \geq 1$ ,  $T_g$  is bounded [compact] on  $A^p$  if and only if  $g \in \mathcal{B}$  [ $\mathcal{B}_0$ ].*

**Theorem 3.3.** (Siskakis and Zhao [16]) *The following are equivalent.*

- (i)  $T_g$  is bounded on  $BMOA$ .

- (ii)  $T_g$  is compact on BMOA.
- (iii)  $g \in LMOA$ .

## 3.2 The $\alpha$ -Bloch Spaces

The natural analogue of Theorem 3.3 is that  $T_g$  is bounded on  $\mathcal{B}$  precisely when  $g \in \mathcal{B}_\ell$ . Theorem 3.4 extends this result to the  $\alpha$ -Bloch spaces as well [12].

**Theorem 3.4.** *Let  $\alpha, \beta > 0$ .*

(a) *The operator  $S_g$  maps  $\mathcal{B}_\alpha$  boundedly into  $\mathcal{B}_\beta$  if and only if*

- (i)  $|g(z)| = O((1 - |z|^2)^{\alpha-\beta})$  as  $|z| \rightarrow 1^-$  ( $\alpha \leq \beta$ ).
- (ii)  $g = 0$  ( $\alpha > \beta$ ).

(b) *The operator  $T_g$  maps  $\mathcal{B}_\alpha$  boundedly into  $\mathcal{B}_\beta$  if and only if*

- (i)  $g \in \mathcal{B}_{\beta,\ell}$  ( $\alpha = 1$ ).
- (ii)  $g \in \mathcal{B}_{1-\alpha+\beta}$  ( $\alpha > 1, 1 - \alpha + \beta \geq 0$ ).
- (iii)  $g$  is constant ( $\alpha > 1, 1 - \alpha + \beta < 0$ ).
- (iv)  $g \in \mathcal{B}_\beta$  ( $\alpha < 1$ ).

*Proof.* Recall from Proposition 2.5 that if  $\alpha = 1$ , we have  $\|\lambda_z^0\|_{\mathcal{B}_\alpha} \sim \log \frac{1}{1-|z|}$  as  $|z| \rightarrow 1$ , where  $\|\lambda_z^0\|_{\mathcal{B}_\alpha} = \sup_{\|f\| \leq 1} |f(z)|$  is the norm in  $\mathcal{B}_\alpha$  of point evaluation at  $z \in D$ . If  $\alpha > 1$ , then  $\|\lambda_z^0\|_{\mathcal{B}_\alpha} \sim (1 - |z|^2)^{\alpha-1}$  as  $|z| \rightarrow 1$ .

Note that  $\|\cdot\|_{\mathcal{B}_\alpha}$  are seminorms, which are adequate for showing boundedness of these operators. We consider conditions such that  $S_g$  maps  $\mathcal{B}_\alpha$  into  $\mathcal{B}_\beta$ . Define a certain growth measurement of  $g$  by

$$A_t(g) = \sup_{z \in D} ((1 - |z|^2)^t |g(z)|), \quad t \geq 0.$$

If  $\beta \geq \alpha$  we have

$$\begin{aligned}
\|S_g f\|_{\mathcal{B}_\beta} &= \sup_{z \in D} (|f'(z)g(z)|(1 - |z|^2)^\beta) \\
&\leq \sup_{z \in D} (|f'(z)|(1 - |z|^2)^\alpha) \sup_{z \in D} ((1 - |z|^2)^{\beta-\alpha} |g(z)|) \\
&= \|f\|_{\mathcal{B}_\beta} A_{\beta-\alpha}(g).
\end{aligned}$$

Thus,  $S_g$  maps  $\mathcal{B}_\alpha$  boundedly into  $\mathcal{B}_\beta$  if  $A_{\beta-\alpha}(g) < \infty$ .

To show this condition is necessary, suppose  $S_g$  maps  $\mathcal{B}_\alpha$  boundedly into  $\mathcal{B}_\beta$  for  $\beta \geq \alpha$ . By Theorem 1,

$$|g(z)| \leq \|S_g\| \frac{\|\lambda_z^1\|_{\mathcal{B}_\beta}}{\|\lambda_z^1\|_{\mathcal{B}_\alpha}} \sim \|S_g\| \frac{(1 - |z|^2)^{-\beta}}{(1 - |z|^2)^{-\alpha}}.$$

Taking the supremum over  $z \in D$ , we get  $A_{\beta-\alpha}(g) \lesssim \|S_g\|$ . Hence  $S_g$  is bounded if and only if  $A_{\beta-\alpha}(g) < \infty$ . In particular,  $S_g$  is bounded on  $\mathcal{B}_\alpha$  if and only if  $g \in H^\infty$ , which is evident from Corollary 2.3. If  $S_g$  maps  $\mathcal{B}_\alpha$  boundedly into  $\mathcal{B}_\beta$ , and  $\beta < \alpha$ , Theorem 2.2 implies that  $|g(z)| \rightarrow 0$  as  $|z| \rightarrow 1^-$ . Since  $g$  is analytic, this means  $g = 0$ . This proves (a).

Consider conditions such that  $T_g$  maps  $\mathcal{B}_\alpha$  into  $\mathcal{B}_\beta$ . By the Closed Graph Theorem, mapping  $\mathcal{B}_\alpha$  into  $\mathcal{B}_\beta$  is equivalent to  $T_g$  mapping  $\mathcal{B}_\alpha$  boundedly into  $\mathcal{B}_\beta$ . In the case  $\alpha = 1$ , and  $\|f\|_{\mathcal{B}} \neq 0$ , we have  $|f(z)| \lesssim \|f\|_{\mathcal{B}} \log(2/(1 - |z|))$ . Thus, if  $g \in \mathcal{B}_{\beta,\ell}$ , then

$$\begin{aligned}
\|T_g f\|_{\mathcal{B}_\beta} &= \sup_{z \in \mathcal{D}} |f(z)||g'(z)|(1 - |z|^2)^\beta \\
&\lesssim \sup_{z \in \mathcal{D}} \left( \|f\|_{\mathcal{B}} \log \frac{2}{1 - |z|} |g'(z)|(1 - |z|^2)^\beta \right) \\
&\leq \|f\|_{\mathcal{B}} \|g\|_{\mathcal{B}_{\beta,\ell}},
\end{aligned}$$

so  $T_g$  is bounded. Conversely,  $T_g$  being bounded implies, by Theorem 2.2,

$$|g'(z)| \leq \|T_g\| \frac{\|\lambda_z^1\|_{\mathcal{B}_\beta}}{\|\lambda_z^0\|_{\mathcal{B}}} \sim \|T_g\| \frac{(1-|z|)^{-\beta}}{\log \frac{2}{1-|z|}}.$$

Hence,  $T_g$  is bounded if and only if  $g \in \mathcal{B}_{\beta,\ell}$ .

In the case  $\alpha > 1$ , assume  $T_g$  maps  $\mathcal{B}_\alpha$  into  $\mathcal{B}_\beta$ . Then, by Theorem 2.2,

$$|g'(z)| \leq \|T_g\| \frac{\|\lambda_z^1\|_{\mathcal{B}_\beta}}{\|\lambda_z^0\|_{\mathcal{B}_\alpha}} \sim (1-|z|^2)^{\alpha-\beta-1}.$$

If  $1 - \alpha + \beta > 0$ , then  $g \in \mathcal{B}_{1-\alpha+\beta}$ .  $1 - \alpha + \beta = 0$  implies  $g$  is a function whose derivative is bounded. If  $1 - \alpha + \beta < 0$ , then  $g$  is constant.

For  $\alpha > 1$ ,  $\|f\|_{\mathcal{B}} \neq 0$ , we have  $|f(z)| \lesssim \|f\|_{\mathcal{B}_\alpha} (1-|z|)^{1-\alpha}$ . Thus,

$$\begin{aligned} \|T_g f\|_{\mathcal{B}_\beta} &\lesssim \sup_{z \in \mathcal{D}} (\|f\|_{\mathcal{B}_\alpha} (1-|z|^2)^{1-\alpha} |g'(z)| (1-|z|^2)^\beta) \\ &\leq \|g\|_{\mathcal{B}_\beta} + \|f\|_{\mathcal{B}_\alpha} \|g\|_{\mathcal{B}_{1-\alpha+\beta}}. \end{aligned}$$

In the case  $\alpha < 1$ ,  $\mathcal{B}_\alpha$  is a Lipschitz class space (see [8]), a subspace of  $H^\infty$ . Evidently  $T_g$  is bounded from  $\mathcal{B}_\alpha$  to  $\mathcal{B}_\beta$  if and only if  $g \in \mathcal{B}_\beta$ .  $\square$

### 3.3 Splitting the Multiplier Condition

We compare the three operators  $M_g$ ,  $T_g$ , and  $S_g$ . Recall  $M[X] = \{g \in H(D) : M_g \text{ is bounded on } X\}$ . Define  $T[X]$  and  $S[X]$  similarly. The Dirichlet space provides a nice example of how the condition for boundedness of  $M_g$  may split into the conditions for  $S_g$  and  $T_g$ .



A function  $f \in H(D)$  is in the Dirichlet space  $\mathcal{D}$  provided

$$\|f\|_{\mathcal{D}} = \int_D |f'(z)| dA(z) < \infty,$$

where  $A$  is Lebesgue area measure on  $D$ . A complex measure  $\mu$  is a *Carleson measure* for  $\mathcal{D}$  if, for all  $f \in \mathcal{D}$ ,  $\int_D |f|^2 d\mu \leq \|f\|_{\mathcal{D}}^2$ . Carleson measures for  $\mathcal{D}$  were characterized by Stegenga in [18]. Stegenga uses the result to characterize the pointwise multipliers of the Dirichlet space, which depend on two conditions. One is boundedness of the symbol of the multiplier. The other condition is a capacity condition on the measure  $\mu$  in Theorem 3.5 (ii), the same condition characterizing the symbols  $g$  for which  $T_g$  is bounded. This is not surprising since the two conditions come from the two terms in the product rule, which also gives us  $M_g \sim T_g + S_g$ .

**Theorem 3.5.** (i)  $S_g$  is bounded on the Dirichlet space  $\mathcal{D}$  if and only if  $g \in H^\infty$ .

(ii)  $T_g$  is bounded on the Dirichlet space  $\mathcal{D}$  if and only if  $\mu$  is a Carleson measure for  $\mathcal{D}$ , where  $d\mu(z) = |g'(z)|^2 dA(z)$ .

*Proof.*

$S_g$  is bounded on  $\mathcal{D}$  if and only if there exists  $C > 0$  such that

$$\|S_g f\|_{\mathcal{D}}^2 = \int_{\mathcal{D}} |f'(z)|^2 |g(z)|^2 dA(z) \leq C \|f\|_{\mathcal{D}}^2.$$

Clearly  $g \in H^\infty$  implies  $S_g$  is bounded. For the converse, note that a function  $f$  is in  $\mathcal{D}$  if and only if  $f' \in A_0^2$ , so point evaluation of the derivative is bounded on  $\mathcal{D}$ . Thus, Corollary 2.3 applies, proving (i).

$T_g$  is bounded on  $\mathcal{D}$  if and only if there exists  $C > 0$  such that

$$\|T_g f\|_{\mathcal{D}}^2 = \int_{\mathcal{D}} |f(z)|^2 |g'(z)|^2 dA(z) \leq C \|f\|_{\mathcal{D}}^2 .$$

This is precisely the statement in (ii).  $\square$

The interaction of  $M_g$ ,  $S_g$ , and  $T_g$  imitates the situation in the Bloch space and in  $BMOA$ . On  $\mathcal{D}$ ,  $BMOA$ , and  $\mathcal{B}$ ,  $M_g$  is bounded only if  $S_g$  and  $T_g$  are both bounded, yet it is possible for  $S_g$  or  $T_g$  to be unbounded while  $M_g$  is bounded. The two conditions given for multipliers of  $\mathcal{B}$  in Theorem 2.7 are the same as the conditions for  $S_g$  and  $T_g$  to be bounded, namely that  $g \in H^\infty$  and that  $g \in \mathcal{B}_\ell$ . On  $BMOA$ ,  $S_g$  is bounded if and only if  $g \in H^\infty$ ,  $T_g$  is bounded if and only if  $g \in LMOA$ , and  $M_g$  is bounded when  $g \in H^\infty \cap LMOA$ . The following table summarizes these results. ( $Y = T[\mathcal{D}]$ . See Theorem 3.5.)

| $X$           | $M[X]$                           | $S[X]$     | $T[X]$             |
|---------------|----------------------------------|------------|--------------------|
| $\mathcal{D}$ | $H^\infty \cap Y$                | $H^\infty$ | $Y$                |
| $BMOA$        | $H^\infty \cap LMOA$             | $H^\infty$ | $LMOA$             |
| $\mathcal{B}$ | $H^\infty \cap \mathcal{B}_\ell$ | $H^\infty$ | $\mathcal{B}_\ell$ |
| $H^p$         | $H^\infty$                       | $H^\infty$ | $BMOA$             |
| $A^p$         | $H^\infty$                       | $H^\infty$ | $\mathcal{B}$      |
| $H^\infty$    | $H^\infty$                       | ?          | ?                  |

In the Hardy and Bergman spaces, the condition characterizing multipliers is simply the condition for  $S_g$  to be bounded, but the condition for boundedness of  $T_g$  is weaker, i.e.,  $S[X] \subset T[X]$ . Thus, in all these spaces we have the phenomenon that boundedness of the multiplication operator  $M_g$  is equivalent to boundedness of both  $S_g$  and  $T_g$ . As we will see in Section 3.4 below, this phenomenon fails for

the operators acting on  $H^\infty$ . The question marks in the table represent unsolved problems, but some discussion and partial results will be presented.

### 3.4 Boundedness of $T_g$ and $S_g$ on $H^\infty$

It is trivial that  $M[H^\infty] = H^\infty$ , i.e., the multipliers of  $H^\infty$  are precisely the functions in  $H^\infty$  themselves. Such is not the case for  $T_g$  and  $S_g$ , and characterizing boundedness of these operators on  $H^\infty$  is an open problem. We investigate this problem. The following proposition gives a necessary condition.

**Proposition 3.6.**  $T[H^\infty] = S[H^\infty] \subseteq H^\infty$ .

*Proof.* From Theorem 2.2, we see that  $S[H^\infty] \subseteq H^\infty$ , i.e., if  $S_g$  is bounded on  $H^\infty$ , then  $g \in H^\infty$ . Hence  $M_g$  is bounded as well. By the product rule, (1.1), this implies  $T_g$  is also bounded. Thus,  $S_g$  is bounded implies  $T_g$  is bounded, or  $S[H^\infty] \subseteq T[H^\infty]$ . Letting  $1 \in H^\infty$  denote the constant function, we have  $T_g 1 = g$ . If  $T_g$  is bounded with norm  $\|T_g\|$ , then

$$\|g\|_\infty = \|T_g 1\|_\infty \leq \|T_g\|.$$

Thus  $T[H^\infty] \subseteq H^\infty$ . If  $T_g$  is bounded then  $g \in H^\infty$  and  $M_g$  is bounded, so  $S_g$  is bounded by (1.1), i.e.,  $T[H^\infty] \subseteq S[H^\infty]$ . Hence the result holds.  $\square$

We show that the inclusion in Proposition 3.6 is proper, i.e.,  $g \in H^\infty$  is not sufficient for  $T_g$  to be bounded. The following counterexample demonstrates this.

For  $w, z \in D$ , let  $\rho(z, w) = \frac{|w-z|}{|1-\bar{w}z|}$  denote the pseudohyperbolic metric on  $D$ , and for  $0 < r < 1$  let  $D(w, r) = \{z \in D : \rho(z, w) < r\}$ . We can find a sequence  $\{a_n\}$  such that, for the Blaschke product  $B$  with zeros  $\{a_n\}$ ,  $T_B$  is unbounded on  $H^\infty$ . Fix a small  $\varepsilon > 0$ . We will choose  $\{a_n\}$  such that  $0 < a_n < a_{n+1} < 1$  for all  $n$ ,

with corresponding factors  $\sigma_n(x) = \frac{a_n - x}{1 - a_n x}$ , so  $B = \prod \sigma_n$  is real-valued on the unit interval. For each  $n$  define  $B_n = B/\sigma_n$ . Also, choose the  $a_n$  to be highly separated in pseudohyperbolic distance; that is,

$$|B_n(x)| > 1 - \varepsilon \text{ for } x \in I_n,$$

where  $I_n = D(a_n, 1/2) \cap \mathbb{R}$ . Let  $x_n$  and  $y_n$  be the endpoints of  $I_n$ , so  $\sigma_n(x_n) = 1/2$  and  $\sigma_n(y_n) = -1/2$ .

$$\begin{aligned} |B(x_n) - B(y_n)| &= |B_n(x_n)\sigma_n(x_n) - B_n(x_n)\sigma_n(y_n) + B_n(x_n)\sigma_n(y_n) - B_n(y_n)\sigma_n(y_n)| \\ &\geq |B_n(x_n)\sigma_n(x_n) - B_n(x_n)\sigma_n(y_n)| - |B_n(x_n)\sigma_n(y_n) - B_n(y_n)\sigma_n(y_n)| \\ &= |B_n(x_n)||\sigma_n(x_n) - \sigma_n(y_n)| - |\sigma_n(y_n)||B_n(x_n) - B_n(y_n)| \\ &= (1 - \varepsilon)(1) - (1/2)\varepsilon \sim 1. \end{aligned}$$

Hence

$$\int_{I_n} |B'(x)| dx \sim 1$$

for all  $n$ .

We wish to estimate the zeros of  $B'$ . For  $a_n < x < a_{n+1}$ ,  $n$  being odd implies  $B(x) < 0$ , and  $B(x) > 0$  for even  $n$ . Let  $J_n$  be the interval between  $I_n$  and  $I_{n+1}$ , so  $J_n = (y_n, x_{n+1})$ . For odd  $n$ ,  $B(y_n)$  and  $B(x_{n+1})$  are near  $-1/2$ , and  $B$  achieves a minimum value near  $-1$  at a point approximately equidistant in pseudohyperbolic distance to  $a_n$  and  $a_{n+1}$ .<sup>1</sup>This point is pseudohyperbolically separated from  $I_n$ . Similarly, for even  $n$   $B$  achieves a maximum, a zero of  $B'$ , at a point between  $a_n$  and  $a_{n+1}$  separated from  $I_n$ .

---

<sup>1</sup>To be more precise, assume we have chosen  $\varepsilon$  small enough and  $\{a_n\}$  separated enough that in

For each  $n$  there is only one zero of  $B'$  on the real line between  $a_n$  and  $a_{n+1}$ . The number of zeros in the disk is  $n - 1$  for the derivative of  $\prod_{j=1}^n \sigma_j$  by the Riemann-Hurwitz formula, and Hurwitz's theorem tells us no other zeros arise in the limit function. Denote this zero  $d_n$ .

Letting  $-f$  denote the Blaschke product with zero sequence  $\{d_n\}$ , we get  $f(x)B'(x) \geq 0$  for  $0 < x < 1$ . Note that  $f$  is an interpolating Blaschke product, and there exists  $\delta > 0$  such that for all  $n$   $f(x) \geq \delta$  for  $x \in I_n$ .

Then

$$\begin{aligned} \lim_{r \rightarrow 1} T_B f(r) &= \lim_{r \rightarrow 1} \int_0^r B'(x) f(x) dx \\ &= \sum_n \int_{I_n} |B'(x)| |f(x)| dx + \sum_n \int_{J_n} |B'(x)| |f(x)| dx \\ &\gtrsim \sum_n \delta = \infty. \end{aligned}$$

Hence  $T_B$  is not bounded on  $H^\infty$ .

### 3.5 Future Work

The weakest sufficient condition we know for characterizing  $T[H^\infty]$  is the one in Theorem 3.7, uniform boundedness of the radial variation of the symbol  $g$ . The a pseudohyperbolic neighborhood of  $1/4$  around the endpoints of  $I_n$ ,  $|B_n| > 7/8$ , and for  $x \in J_n$ ,

$$\frac{|B(x)|}{|\sigma_n(x)\sigma_{n+1}(x)|} > 7/8.$$

Then for odd  $n$ ,  $x \in D(y_n, 1/4)$ , we have  $(7/8)(-1/2) > B(x) > -1/2$ , which also holds for  $x \in D(x_{n+1}, 1/4)$ . For  $x \in J_n$  such that  $\rho(a_n, x) > 7/8$  and  $\rho(a_{n+1}, x) > 7/8$ , (having chosen  $\{a_n\}$  to ensure such  $x$  exists) we have  $|B(x)| > (7/8)^3 > 1/2$ . As  $B$  is continuous, the Mean Value Theorem gives us a zero of  $B'$  in  $J_n$ . Although we have not proved this zero is pseudohyperbolically separated from  $I_n$ , it is separated from a neighborhood of  $a_n$  of radius  $1/4$ , which is enough to draw our conclusion.

strongest necessary condition we have proven is that  $g \in H^\infty$ , although we know this is not sufficient. The *radial variation* of  $f \in H(D)$  at  $\theta \in \partial D$  is

$$V(f, \theta) = \int_0^1 |f'(te^{i\theta})| dt.$$

In this section we examine the problem and the condition of having bounded radial variation. Finally we give the weakest sufficient condition we have for compactness of  $T_g$  in Theorem 3.12.

**Theorem 3.7.** *The following condition on  $g$  implies  $T_g$  is bounded on  $H^\infty$ :*

$$\text{There exists } M > 0 \text{ such that for all } \theta \in \partial D, V(g, \theta) < M. \quad (3.1)$$

*Proof.* If (3.1) holds, then

$$\begin{aligned} \|T_g f\|_\infty &= \sup_{z \in D} \left| \int_0^z f(w)g'(w) dw \right| \\ &= \sup_{\theta} \left| \int_0^1 f(te^{i\theta})g'(te^{i\theta}) dt \right| \\ &\leq \sup_{\theta} \int_0^1 |f(te^{i\theta})g'(te^{i\theta})| dt \leq \|f\|_\infty M. \quad \square \end{aligned}$$

The following proposition is the Fejér-Riesz inequality. For a proof, see [8, 3.13].

**Proposition 3.8.** (Fejér-Riesz) *If  $f \in H^p$  ( $1 \leq p < \infty$ ), then the integral of  $|f|^p$  along the real interval  $-1 < x < 1$  converges, and*

$$\int_{-1}^1 |f(x)|^p dx \leq \frac{1}{2} \|f\|_p^p.$$

By Theorem 3.7 and the Fejér-Riesz inequality,  $g' \in H^1$  implies  $T_g$  is bounded

on  $H^\infty$ , with norm no greater than  $\|g'\|_{H^1}/2$ . However, condition 3.1 does not imply  $g' \in H^1$ , as we will see in Theorem 3.11. We will use a pair of theorems from univalent function theory.

For  $E \subset \mathbb{C}$ ,  $\Lambda(E)$  denotes the linear measure of  $E$ .

$$\Lambda(E) = \liminf_{\varepsilon \rightarrow 0} \sum_k d_k, \quad d_k < \varepsilon$$

where the infimum ranges over countable covers of  $E$  by discs  $D_k$  of diameter  $d_k$ .

**Theorem 3.9.** [14, Theorem 10.11] *If  $f(z)$  is analytic and univalent in  $D$  then*

$$f' \in H^1 \Leftrightarrow \Lambda(\partial f(D)) < \infty.$$

The next Theorem says that hyperbolic geodesics are roughly no longer than euclidean geodesics. It is attributed to F. W. Gehring and W. K. Hayman.

**Theorem 3.10.** [14, Theorem 10.9] *Let  $f(z)$  be analytic and univalent in  $D$ . If  $C \subset D$  is a Jordan arc from  $0$  to  $e^{i\theta}$  then*

$$V(f, \theta) \leq K l(f(C)),$$

where  $K$  is an absolute constant, and  $l(f(C))$  is the arc length of  $f(C)$ .

**Theorem 3.11.** *There exists a univalent function  $f \in H^\infty$  such that (3.1) holds but  $f' \notin H^1$ .*

*Proof.* Construct a starlike region  $G \subset D$  with a boundary of infinite length by removing countably many slits from  $D$ . The Riemann map  $f$  from  $D$  to  $G$  is univalent and fails to satisfy  $f' \in H^1$  by Theorem 3.9. However, the image under  $f$  of

any radius from 0 to  $e^{i\theta}$  is bounded in length by  $K$  from Theorem 3.10. This shows that the condition  $g' \in H^1$  is strictly stronger than condition (3.1).  $\square$

The next result pertains to compactness of  $T_g$ .

**Theorem 3.12.** *The following condition implies compactness of  $T_g$  on  $H^\infty$ :*

$$\text{For all } \varepsilon > 0, \text{ there exists } r < 1 \text{ such that } \int_r^1 |g'(te^{i\theta})| dt < \varepsilon \text{ for all } \theta \in \partial D. \quad (3.2)$$

*Proof.* Let  $X$  and  $Y$  be elements of the following set of Banach spaces:  $\{H^p (1 \leq p \leq \infty), A^p (1 \leq p < \infty), \mathcal{B}, BMOA, \mathcal{D}\}$ . Let  $\{f_n\}$  be a sequence of functions in  $X$  such that  $\|f_n\|_X \leq 1$  for all  $n$ . Standard methods show the following condition implies compactness of  $T_g$  from  $X$  to  $Y$ :

$$f_n \rightarrow 0 \text{ uniformly on compact subsets of } D \text{ implies } \|T_g f_n\|_Y \rightarrow 0.$$

Let  $\varepsilon > 0$ , and assume (3.2) holds. Choose  $N$  such that  $n > N$  implies  $|f_n(z)| < \varepsilon$  for  $|z| < r$ , where  $r$  satisfies (3.2). Note that (3.1) holds, since there exists  $M$  such that  $|g'(z)| < M$  on  $\{z : |z| \leq r\}$ .

Then, for  $z \in D$ ,

$$\begin{aligned} \|T_g f_n\|_\infty &\leq \sup_\theta \int_0^1 |f_n(te^{i\theta})g'(te^{i\theta})| dt \\ &= \sup_\theta \int_0^r |f_n(te^{i\theta})g'(te^{i\theta})| dt + \int_r^1 |f_n(te^{i\theta})g'(te^{i\theta})| dt \\ &\leq \varepsilon M + \varepsilon. \end{aligned}$$

Hence  $\|T_g f_n\|_\infty \rightarrow 0$  as  $n \rightarrow \infty$ .  $\square$



# Chapter 4

## Results on Closed Range Operators

### 4.1 When $T_g$ Is Bounded Below

We will show that  $T_g$  is never bounded below on  $H^2$ ,  $\mathcal{B}$ , nor  $BMOA$ . The sequence  $\{z^n\}$  demonstrates the result in each space, since the functions  $z^n$  have norm comparable to 1, independent of  $n$  (Lemma 2.4).

**Theorem 4.1.**  *$T_g$  is never bounded below on  $H^2$ ,  $\mathcal{B}$ , nor  $BMOA$ .*

*Proof.* Let  $f_n(z) = z^n$ . For  $H^2$ ,

$$\lim_{n \rightarrow \infty} \|T_g f_n\|_2^2 \sim \lim_{n \rightarrow \infty} \int_D |z^n|^2 |g'(z)|^2 (1 - |z|^2) dA(z).$$

We assume  $T_g$  is bounded, so  $g \in BMOA$  by the result of Aleman and Siskakis [3]. Thus  $\mu_g$  is a Carleson measure, allowing us to bring the limit inside the integral by the Dominated Convergence Theorem.

$$\lim_{n \rightarrow \infty} \|T_g f_n\|_2^2 = \int_D \lim_{n \rightarrow \infty} |z^n|^2 |g'(z)|^2 (1 - |z|^2) dA(z) = 0.$$

Since  $\|f_n\|_2 = 1$  for all  $n$ ,  $T_g$  is not bounded below.

If  $T_g$  is bounded on  $\mathcal{B}$ , then, by Theorem 2.2,  $|g'(z)|(1-|z|) = O(1/\log(1/(1-|z|)))$  as  $|z| \rightarrow 1$ .

$$\|T_g f_n\|_{\mathcal{B}} = \sup_{z \in D} |z^n| |g'(z)|(1-|z|) \lesssim \sup_{0 \leq r < 1} r^n \frac{1}{\log(2/(1-r))}.$$

Given  $\varepsilon > 0$ , there exists  $\delta < 1$  such that  $1/\log(2/(1-r)) < \varepsilon$  for  $\delta < r < 1$ . For large  $n$ ,  $r^n < \varepsilon$  for  $0 < r < \delta$ . Thus,  $\lim_{n \rightarrow \infty} \|T_g f_n\|_{\mathcal{B}} = 0$ , and Lemma 2.4 implies  $T_g$  is not bounded below on  $\mathcal{B}$ .

Siskakis and Zhao [16] proved that if  $T_g$  is bounded on  $BMOA$  then  $g \in LMOA$ .

$$\lim_{n \rightarrow \infty} \|T_g f_n\|_*^2 \sim \lim_{n \rightarrow \infty} \sup_I \frac{1}{|I|} \int_{S(I)} |z^n|^2 |g'(z)|^2 (1-|z|^2) dA(z).$$

Let  $I$  be an arc in  $\partial D$ , and let  $\varepsilon > 0$ . Since  $g \in VMOA$ , there exists  $\delta > 0$  such that

$$\frac{1}{|J|} \int_{S(J)} |g'(z)|^2 (1-|z|^2) dA(z) < \varepsilon \text{ whenever } |J| < \delta.$$

If  $|I| > \delta$ , divide  $I$  into  $K$  disjoint intervals of length approximately  $\delta$ , so

$$I = \cup_{i=1}^K J_i, \quad \delta/2 < |J_i| < \delta \text{ for all } i, \text{ and } \delta K \sim |I|.$$

Let  $S_\delta(I) = S(I) - \cup_i S(J_i)$ . For large  $n$ ,  $(1-\delta/2)^{2n} \leq \varepsilon|I|$ , and to estimate the

integral over  $S_\delta(I)$  we use the fact that  $\mu_g$  is a Carleson measure.

$$\begin{aligned}
\frac{1}{|I|} \int_{S(I)} |z^n|^2 |g'(z)|^2 (1 - |z|^2) dA(z) &= \frac{1}{|I|} \int_{S_\delta(I)} |z^n|^2 |g'(z)|^2 (1 - |z|^2) dA(z) \\
&+ \frac{1}{|I|} \sum_{i=1}^K \int_{S(J_i)} |z^n|^2 |g'(z)|^2 (1 - |z|^2) dA(z) \\
&\leq \frac{1}{|I|} (1 - \delta/2)^{2n} C \|g\|_*^2 + \frac{1}{|I|} K \delta \varepsilon \lesssim \varepsilon
\end{aligned}$$

for large  $n$ . Hence  $\lim_{n \rightarrow \infty} \|T_g f_n\|_* = 0$  and  $T_g$  is not bounded below on  $BMOA$ .

□

In contrast to Theorem 4.1,  $T_g$  can be bounded below on weighted Bergman spaces. We state the result here, but the key is Proposition 4.3, proved afterward.

**Theorem 4.2.** *Let  $1 \leq p < \infty$ ,  $\alpha > -1$ .  $T_g$  is bounded below on  $A_\alpha^p$  if and only if there exist  $c > 0$  and  $\delta > 0$  such that*

$$|\{z \in D : |g'(z)|(1 - |z|^2) > c\} \cap S(I)| > \delta |I|^2.$$

*Proof.* We must assume  $T_g$  is bounded on  $A_\alpha^p$ . By Theorem 2.2,  $g \in \mathcal{B}$ . (That this is also sufficient for  $T_g$  to be bounded on  $A_0^p$  is in [2].)  $T_g$  is bounded below on  $A_\alpha^p$  if and only if

$$\|T_g f\|_{A_\alpha^p}^p \sim \int_D |f(z)|^p |g'(z)|^p (1 - |z|^2)^{\alpha+p} dA(z) \gtrsim \|f\|_{A_\alpha^p}^p. \quad (4.1)$$

By Proposition 4.3, (4.1) holds if and only if there exist  $c > 0$  and  $\delta > 0$  such that

$$|\{z \in D : |g'(z)|^p (1 - |z|^2)^p > c\} \cap S(I)| > \delta |I|^2 \quad (4.2)$$

for all arcs  $I \subseteq \partial D$ . If (4.2) holds for some  $p \geq 1$ , it holds for  $1 \leq p < \infty$ , with an adjustment of the constant  $c$ .  $\square$

The proof of [15, Proposition 5.4] shows this result is nonvacuous. Ramey and Ullrich construct a Bloch function  $g$  such that  $|g'(z)|(1 - |z|) > c_0$  if  $1 - q^{-(k+1/2)} \leq |z| \leq 1 - q^{-(k+1)}$ , for some  $c_0 > 0$ ,  $q$  some large positive integer, and  $k = 1, 2, \dots$ . Given a Carleson square  $S(I)$ , let  $k_I$  be the least positive integer such that  $q^{-k_I+1/2} \leq |I|$ . The annulus  $E = \{z : 1 - q^{-(k_I+1/2)} \leq |z| \leq 1 - q^{-(k_I+1)}\}$  intersects  $S(I)$ , and

$$|E \cap S(I)| \sim |I|((1 - q^{-(k_I+1)}) - (1 - q^{-(k_I+1/2)})) = |I| \frac{q^{1/2} - 1}{q^{k_I+1}} \geq \frac{(q^{1/2} - 1)}{q^{3/2}} |I|^2.$$

Setting  $c = c_0$  and  $\delta \sim 1/q$  show Theorem 4.2 holds for this example of  $g$ , and  $T_g$  is bounded below on  $A_\alpha^p$ .

## 4.2 Boundedness Below of $S_g$

The operator  $S_g$  can clearly be bounded below, since  $g(z) = 1$  gives the identity operator. A result due to Daniel Luecking (see [6, Theorem 3.34]) leads to a characterization of functions for which  $S_g$  is bounded below on  $H_0^2 := H^2/\mathbb{C}$  and  $A_\alpha^p/\mathbb{C}$ . We state a reformulation useful to our purposes here.

**Proposition 4.3.** (Luecking) *Let  $\tau$  be a bounded, nonnegative, measurable function on  $D$ . Let  $G_c = \{z \in D : \tau(z) > c\}$ ,  $1 \leq p < \infty$ , and  $\alpha > -1$ . There exists  $C > 0$  such that the inequality*

$$\int_D |f(z)|^p \tau(z) (1 - |z|)^\alpha dA(z) \geq C \int_D |f(z)|^p (1 - |z|)^\alpha dA(z)$$

*holds if and only if there exist  $\delta > 0$  and  $c > 0$  such that  $|G_c \cap S(I)| \geq \delta |I|^2$  for every*

interval  $I \subset \partial D$ .

The proof is omitted. Using the Littlewood-Paley identity we get the following:

**Corollary 4.4.**  *$S_g$  is bounded below on  $H_0^2$  if and only if there exist  $c > 0$  and  $\delta > 0$  such that  $|G_c \cap S(I)| \geq \delta|I|^2$ , where  $G_c = \{z \in D : |g(z)| > c\}$ .*

We use Corollary 4.4 to construct an example when  $S_g$  is not bounded below on  $H_0^2$ , and compare  $M_g$  on  $H^2$  to  $S_g$  on  $H_0^2$ . If  $g(z)$  is the singular inner function  $\exp(\frac{z+1}{z-1})$ ,  $S_g$  is not bounded below on  $H_0^2$ . To see this, fix  $c \in (0, 1)$ .  $G_c$  is the complement in  $D$  of a horodisk, a disk tangent to the unit circle, with radius  $r = \frac{\log c+1}{2(\log c-1)}$  and center  $1 - r$ . Choosing a sequence of intervals  $I_n \subset \partial D$  such that 1 is the center of  $I_n$  and  $|I_n| \rightarrow 0$  as  $n \rightarrow \infty$ , we see

$$\frac{|G_c \cap S(I_n)|}{|I_n|^2} \rightarrow 0 \text{ as } n \rightarrow \infty,$$

meaning  $S_g$  is not bounded below on  $H_0^2$ .

$M_g$  is bounded below on  $H^2$  if and only if the radial limit function of  $g \in H^\infty$  is essentially bounded away from 0 on  $\partial D$  ( a special case of weighted composition operators; see [11]). Theorem 4.6 will show this is weaker than the condition for  $S_g$  to be bounded below on  $H_0^2$ . The example above of a singular inner function then shows it is strictly weaker. To prove Theorem 4.6 we use a lemma which allows us to estimate an analytic function inside the disk by its values on the boundary.

For any arc  $I \subseteq \partial D$  and  $0 < r < 2\pi/|I|$ ,  $rI$  will denote the arc with the same center as  $I$  and length  $r|I|$ . We define the upper Carleson rectangle

$$S_\varepsilon(I) = \{re^{it} : 1 - |I| < r < (1 - \varepsilon|I|), e^{it} \in I\}, \text{ and } S^+(I) = S_{1/2}(I).$$

**Lemma 4.5.** *Given  $\varepsilon$ ,  $0 < \varepsilon < 1$ , and a point  $e^{i\theta}$  such that  $|g^*(e^{i\theta})| < \varepsilon$ , there exists an arc  $I \subset \partial D$  such that  $|g(z)| < \varepsilon$  for  $z \in S_\varepsilon(I)$ .*

*Proof.* We can choose  $\alpha$  close enough to 1 so that  $S_\varepsilon(I) \subset \Gamma_\alpha(e^{i\theta})$  for all  $I$  centered at  $e^{i\theta}$  with, say,  $|I| < 1/4$ . If  $|g^*(e^{i\theta})| < \varepsilon$ , there exists  $\delta > 0$  such that

$$z \in \Gamma_\alpha(e^{i\theta}), |z - e^{i\theta}| < \delta \text{ imply } |g(z)| < \varepsilon.$$

Choosing  $I$  such that  $S(I)$  is contained in a  $\delta$ -neighborhood of  $e^{i\theta}$  finishes the proof.  $\square$

**Theorem 4.6.** *If  $S_g$  is bounded below on  $H_0^2$ , then  $M_g$  is bounded below on  $H^2$ .*

*Proof.* Assume  $M_g$  is not bounded below on  $H^2$ . Let  $\varepsilon > 0$ . The radial limit function of  $g$  equals  $g^*$  almost everywhere, so there exists a point  $e^{i\theta}$  such that  $|g^*(e^{i\theta})| < \varepsilon$ . By Lemma 4.5, there exists  $S(I)$  such that  $|\{z : |g(z)| \geq \varepsilon\} \cap S(I)| \leq \varepsilon|I|$ . Since  $\varepsilon$  was arbitrary, this violates the condition in Proposition 4.3.  $\square$

### 4.2.1 $S_g$ on the Bloch Space

We now characterize the symbols  $g$  which make  $S_g$  bounded below on the Bloch space. It turns out to be a common condition appearing in a few different forms in the literature. The condition appears in characterizing  $M_g$  on  $A_0^2$  in McDonald and Sundberg [13]. Our main result is equivalence of (i)-(iii) in Theorem 4.7, and we give references with brief explanations for (iv)-(vi).

**Theorem 4.7.** *The following are equivalent for  $g \in H^\infty$ :*

(i)  $g = BF$  for a finite product  $B$  of interpolating Blaschke products and  $F$  such that  $F, 1/F \in H^\infty$ .

- (ii)  $S_g$  is bounded below on  $\mathcal{B}/\mathbb{C}$ .
- (iii) There exist  $r < 1$  and  $\eta > 0$  such that for all  $a \in D$ ,

$$\sup_{z \in D(a,r)} |g(z)| > \eta.$$

- (iv)  $S_g$  is bounded below on  $H_0^2$ .
- (v)  $M_g$  is bounded below on  $A_\alpha^p$  for  $\alpha > -1$ .
- (vi)  $S_g$  is bounded below on  $A_\alpha^p/\mathbb{C}$  for  $\alpha > -1$ .

*Proof.* (i)  $\Rightarrow$  (ii): Note that  $S_{g_1 g_2} = S_{g_1} S_{g_2}$  for any  $g_1, g_2$ . It follows that if  $S_{g_1}$  and  $S_{g_2}$  are bounded below then  $S_{g_1 g_2}$  is also bounded below. We will show that  $S_F$  and  $S_B$  are bounded below, implying the result for  $S_g$ .

If  $S_g$  is bounded on  $\mathcal{B}$ , then  $g \in H^{infly}$  by Corollary 2.3. If  $F, 1/F \in H^\infty$ , then

$$\|S_F f\| = \sup_{z \in D} |F(z)| |f'(z)| (1 - |z|^2) \geq (1/\|1/F\|_\infty) \|f\|_{\mathcal{B}}.$$

Hence  $S_F$  is bounded below.

By virtue of the fact beginning this proof, we may assume  $B$  is a single interpolating Blaschke product without loss of generality. Let  $\{w_n\}$  be the zero sequence of  $B$ , so

$$B(z) = e^{i\varphi} \prod_n \frac{w_n - z}{1 - \bar{w}_n z}.$$

Denote the pseudohyperbolic metric

$$\rho(z, w) = \frac{|w - z|}{|1 - \bar{w}z|}, \text{ for any } z, w \in D.$$

For the pseudohyperbolic disk of radius  $d > 0$  and center  $w \in D$ , we use the notation

$$D(w, d) = \{z \in D : \rho(z, w) < d\}.$$

Let  $B_j$  be  $B$  without its  $j$ th zero, i.e.,  $B_j(z) = \frac{1-\bar{w}_j z}{w_j - z} B(z)$ . Since  $B$  is interpolating, there exist  $\delta > 0$  and  $r > 0$  such that, for all  $j$ ,  $|B_j(z)| > \delta$  whenever  $z \in D(w_j, r)$ . In particular, the sequence  $\{w_n\}$  is separated, so shrinking  $r$  if necessary, we may assume

$$\inf_{j \neq k} \rho(w_k, w_j) > 2r.$$

We compare  $\|f\|$  to  $\|S_B f\| = \sup_{z \in D} |B(z)| |f'(z)| (1 - |z|^2)$ . Let  $a \in D$  be a point where the supremum defining the norm of  $f$  is almost achieved, say,  $|f'(a)| (1 - |a|^2) > \|f\|/2$ .

Consider the pseudohyperbolic disk  $D(a, r)$ . Inside  $D(a, r)$  there may be at most one zero of  $B$ , say  $w_k$ . We examine three cases depending on the location and existence of  $w_k$ .

If  $r/2 \leq \rho(w_k, a) < r$ , then

$$|B(a)| = \frac{|w_k - a|}{|1 - \bar{w}_k a|} |B_k(a)| > (r/2)\delta.$$

Thus we would have

$$\|S_B f\| \geq |B(a)| |f'(a)| (1 - |a|^2) > (r/2)\delta \|f\|/2,$$

and  $S_g$  would be bounded below.

On the other hand, suppose  $\rho(w_k, a) < r/2$ . Consider the disk  $D(w_k, r/2)$ , which is



contained in  $D(a, r)$ . The expression  $1 - |z|^2$  is roughly constant on a pseudohyperbolic disk, i.e.,

$$\sup_{z \in D(a, r)} (1 - |z|^2) > C_r(1 - |a|^2) \text{ for some } C_r > 0.$$

$C_r$  does not depend on  $a$ , and is near 1 for small  $r$ . By the maximum principle for  $f'$ , there exists a point  $z_a \in \partial D(w_k, r/2)$  where

$$|f'(z_a)|(1 - |z_a|^2) > |f'(a)|C_r(1 - |a|^2) > C_r\|f\|/2.$$

(Since  $\rho(w_k, a) < r/2$  and  $\rho(z_a, w_k) = r/2$ , we have  $\rho(z_a, a) < r$ .) This shows that  $S_g$  is bounded below, for

$$\begin{aligned} \|S_B f\| &\geq |B(z_a)||f'(z_a)|(1 - |z_a|^2) \\ &> \rho(w_k, z_a)|B_k(z_a)|C_r\|f\|/2 \\ &> (r/2)\delta C_r\|f\|/2. \end{aligned}$$

Finally, suppose no such  $w_k$  exists. Then the function  $((a - z)/(1 - \bar{a}z))B(z)$  is also an interpolating Blaschke product, and the previous case applies with  $w_k = a$ .

(ii)  $\Rightarrow$  (iii): Assume (iii) fails. Given  $\varepsilon > 0$ , choose  $r$  near 1 so that  $1 - r^2 < \varepsilon$ , and choose  $a \in D$  such that  $|g(z)| < \varepsilon$  for all  $z \in D(a, r)$ . Consider the test function  $f_a(z) = (a - z)/(1 - \bar{a}z)$ . By a well-known identity,

$$(1 - |z|^2)|f'_a(z)| = 1 - (\rho(a, z))^2.$$

Thus  $f_a \in \mathcal{B}$  with  $\|f_a\| = 1$  for all  $a \in D$ . (The seminorm is 1, but the true norm is

between 1 and 2 for all  $a$ .) By supposition on  $g$ ,

$$\begin{aligned}
\|S_g f_a\| &= \sup_{z \in D} |g(z)| |f'_a(z)| (1 - |z|^2) \\
&= \max \left\{ \sup_{z \in D(a,r)} |g(z)| |f'_a(z)| (1 - |z|^2), \sup_{z \in D \setminus D(a,r)} |g(z)| |f'_a(z)| (1 - |z|^2) \right\} \\
&\leq \max \left\{ \sup_{z \in D(a,r)} |g(z)| \|f_a\|, \sup_{z \in D \setminus D(a,r)} |g(z)| (1 - r^2) \right\} \\
&< \max\{\varepsilon, \|g\|_\infty \varepsilon\} \leq \varepsilon (\|g\|_\infty + 1)
\end{aligned}$$

Since  $\|f_a\| = 1$  and  $\varepsilon$  was arbitrary,  $S_g$  is not bounded below.

(iii)  $\Rightarrow$  (i): Assuming (iii) holds, we first rule out the possibility that  $g$  has a singular inner factor. We factor  $g = BI_g O_g$  where  $B$  is a Blaschke product,  $I_g$  a singular inner function, and  $O_g$  an outer function. Let  $\nu$  be the measure on  $\partial D$  determining  $I_g$ , so

$$I_g(z) = \exp\left(-\int \frac{e^{i\theta} + z}{e^{i\theta} - z} d\nu(\theta)\right).$$

Let  $\varepsilon > 0$ . For any  $\alpha > 1$  and for  $\nu$ -almost all  $\theta$ , there exists  $\delta > 0$  such that

$$z \in \Gamma_\alpha(e^{i\theta}), |z - e^{i\theta}| < \delta \text{ imply } |I_g(z)| < \varepsilon. \quad (4.3)$$

This is [10, Theorem II.6.2].  $\delta$  may depend on  $\theta$  and  $\alpha$ , but for nontrivial  $\nu$  there exists some  $\theta$  where (4.3) holds. Given  $r < 1$ , choose  $\alpha < 1$  such that, for every  $a$  near  $e^{i\theta}$  on the ray from 0 to  $e^{i\theta}$ , the pseudohyperbolic disk  $D(a, r)$  is contained in  $\Gamma_\alpha(e^{i\theta})$ . The disk  $D(a, r)$  is a euclidean disk whose euclidean radius is comparable to  $1 - a$ . For  $a$  close enough to  $e^{i\theta}$ ,

$$z \in D(a, r) \text{ implies } |z - e^{i\theta}| < \delta.$$

Hence  $\sup_{z \in D(a,r)} |g(z)| < \varepsilon \|g\|$ . This violates (iii), so  $\nu$  must be trivial, and  $I_g \equiv 1$ .

A similar argument handles the outer function  $O_g$ . If for all  $\varepsilon > 0$  there exists  $e^{it}$  such that  $|O_g^*(e^{it})| < \varepsilon$ , we apply Lemma 4.5. The upper Carleson square in Lemma 4.5 contains some pseudohyperbolic disk that violates (iii), so  $O_g^*$  is essentially bounded away from 0. There exists  $\eta > 0$ , such that  $|O_g^*(e^{it})| \geq \eta$  almost everywhere. Note  $1/O_g \in H^\infty$ , since for all  $z \in D$ ,

$$\log |O_g(z)| = \frac{1}{2\pi} \int_0^{2\pi} \log |O_g^*(e^{it})| \frac{1 - |z|^2}{|e^{it} - z|^2} dt \geq \log \eta.$$

We have reduced the symbol to a function  $g = BF$ , where  $F, 1/F \in H^\infty$  and  $B$  is a Blaschke product, say with zero sequence  $\{w_n\}$ . We will show that the measure  $\mu_B = \sum(1 - |w_n|^2)\delta_{w_n}$  is a Carleson measure, implying  $B$  is a finite product of interpolating Blaschke products. (see, e.g., [13, Lemma 21]) Let  $r < 1$  and  $\eta > 0$  be as in (iii), so  $\sup_{z \in D(a,r)} |B(z)| > \eta$  for all  $a$ . Given any arc  $I \subseteq \partial D$ , we may choose  $a_I$  and  $z_I$  such that  $D(a_I, r) \subseteq S(I)$ ,  $z_I \in D(a_I, r)$ ,  $|B(z_I)| > \eta$ , and  $(1 - |z_I|) \sim |I|$  as  $I$  varies.  $\mu_B(S(I)) = \sum(1 - |w_{n_k}|^2)$  where the subsequence  $\{w_{n_k}\} = \{w_n\} \cap S(I)$ . Assume without loss of generality that  $|I| < 1/2$ , so  $|w_{n_k}| > 1/2$  for all  $k$ . This

ensures  $|1 - \bar{w}_{n_k} z_I| \sim |I|$ . Thus we have

$$\begin{aligned}
\frac{1}{|I|} \sum_k (1 - |w_{n_k}|^2) &\sim \sum_k \frac{(1 - |z_I|^2)(1 - |w_{n_k}|^2)}{|1 - \bar{w}_{n_k} z_I|^2} \\
&= \sum_k 1 - (\rho(z_I, w_{n_k}))^2 \\
&< 2 \sum_n 1 - \rho(z_I, w_n) \\
&\leq - \sum_n \log \rho(z_I, w_n) \\
&= - \log \prod_n \frac{|w_n - z_I|}{|1 - \bar{w}_n z_I|} \\
&= - \log |B(z)| \leq - \log \eta.
\end{aligned}$$

This shows  $\mu_B$  is a Carleson measure.

$$(i) \Leftrightarrow (iv) \Leftrightarrow (v) \Leftrightarrow (vi)$$

Bourdon shows in [5, Theorem 2.3, Corollary 2.5] that (i) is equivalent to the reverse Carleson condition in Corollary 4.4 above, hence (i)  $\Leftrightarrow$  (iv). This reverse Carleson condition also characterizes boundedness below of  $M_g$  on weighted Bergman spaces by Proposition 4.3. Thus (iv)  $\Leftrightarrow$  (v). (v)  $\Leftrightarrow$  (vi) is evident from the differentiation isomorphism (2.3).  $\square$

## 4.2.2 Concluding Remarks

We suspect the results about  $H^2$  can be extended to all  $H^p$ ,  $1 \leq p < \infty$ , but without the Littlewood-Paley identity the proof is more difficult. Generalizing the results on Bloch to the  $\alpha$ -Bloch spaces can be done with adjusted test functions as in [19]. Finally, we have partial results concerning  $S_g$  being bounded below on  $BMOA$ , but have not completed proving a characterization like the one in Theorem 4.7.

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